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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/971,726

10/04/2001

Mark Thompson

020375-003600US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834

**CONFIRMATION NO. 3054** FORMALITIES LETTER I I III E BU I E BU E E BU OC000000007031432\*

Date Mailed: 11/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/24/2002 NMOHAMM1 00000105 201430 09971726

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- Please type a plus sign (+) inside this box -

PTO/SB/21 (08-00)

Approved. se through 10/31/2002. OMB 0651-0031

January 2, 2002

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## TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Qy		,	
Application Numb r	09/971,726		
Filing Dat	October 4, 2001		
First Nam d Inv ntor	Thompson, Mark		
Group Art Unit	2161		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	020375-003600US		

ENCLOSURES (check all that apply)							
Fee Transmittal F	Form	Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Attached	d	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) . ying Petition	Proprietary Information			
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter			
Extension of Time Request		Power of Attorney and Statement Under 37 CFR 3.73(b)		Other Enclosure(s) (please identify below):			
Express Abandonment Request		Terminal Disclaimer Request for Refund		Return Postcard Executed Declaration			
Information Disclosure Statement		CD, Number of CD(s)		PTO Copy of Notice to File Missing Parts			
Certified Copy of Document(s)	Priority	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					
Response to Miss							
Response to Parts under 3 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm Townsend and Townsend and Crew LLP							
and Individual name	Patrick M. Boucher Reg. No. 44,037						
Signature	Patito ofen						
Date	January 2, 2002						
CERTIFICATE OF MAILING							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Nina L. McNeill

DE 7058374 v1

Signature

Typed or printed name

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SEEDE TOANGMITTAL	Complete if Known				
OF EFEE TRANSMITTAL FOR FY 2001	Application Number	09/971,726			
or FY 2001	Filing Date	October 4, 2001			
	First Named Inventor	Thompson, Mark			
Patent fees are subject to annual revision.	Examiner Name	Not Yet Assigned			
TOTAL AMOUNT OF PAYMENT (\$) 170	Group Art Unit	2161			
TOTAL AMOUNT OF PAYMENT (\$) 170	Attorney Docket No.	020375-003600US			

METHOD OF PAYMENT			Terror and an arrangement of the second of t								
The Commission of the set of the state of th		FEE CALCULATION (continued) 3. ADDITIONAL FEES									
1. In Commissioner is nereby authorized to charge indicated fees and credit any over payments to:											
Deposit Account Number		Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid				
		105	130	205	65	Surcharge - late filing fee or oath	130				
Deposit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
	ount	Tow	nsend ar	nd Townsend and	Crew LLP	139	130	139	130	Non-English specification	
Nam		L		<del></del>		147	2,520	147	2,520	For filing a request for reexamination	
_	Under 37	7 CFR 1.1	16 and 1.			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
		CFR 1.27	7	ty status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	Payme	ent Encl	osed:			115	110	215	55	Extension for reply within first month	
	Check	☐ Ci	redit card	d	☐ Other	116	400	216	200	Extension for reply within second month	
	-		EEE C	CALCULATION	<del></del>	117	920	217	460	Extension for reply within third month	
1.	BASIC F	ILING F		ALCOLATION		118	1,440	218	720	Extension for reply within fourth month	
Large	Entity	Small	Entity			128	1,960	228	980	Extension for reply within fifth month	
Fee		Fee	Fee	Fee Description		119	320	219	160	Notice of Appeal	
Code	• • •	Code	(\$)		Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101		201	370	Utility filing fee		121	280	221	140	Request for oral hearing	
106 107		206 207	165 255	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108		208		Reissue filing fee	9	140	110	240	55	Petition to revive – unavoidable	
114	160	214	80	Provisional filing	fee	141	1,280	241	640	Petition to revive – unintentional	
i		er		1. (4)		142	1,280	242	640	Utility issue fee (or reissue)	
i		31	UBTOTA	.L (1)	(\$)	143	460	243	230	Design issue fee	
2. EXT	RA CLAII	M FEES	,			144	620	244	310	Plant issue fee	
i					e from Fee	122	130	122	130	Petitions to the Commissioner	
Total Clain		-20*		Claims bel	low Paid =	123	50	123	50	Petitions related to provisional applications	
Independer Claims	nt	-3**	• = [	×	=	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent			_	x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entity Fee	•	*= ·-	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	( <b>\$)</b> 18	<b>Code</b> 203	( <b>\$</b> ) 9	Fee Descripti Claims in exce		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102	84	202	42		claims in excess of 3	1				- ' ' '	$\vdash$
104	280	204	140		ndent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109	84	209	42	** Reissue ind	** Reissue independent claims over original patent		900	169	900	Request for expedited examination of a design application	
110	** Poissus deims is success of 00 and										
			S	UBTOTAL (2)		The Co the abo	mmission	ner is au Deposi	uthorize it Acçou	ed to charge any additional fees to unt.	
					*Reduc	ed by Bas	sic Filing	, Fee Pa	aid SUBTOTAL (3) (\$)170		
**or number previously paid, if greater; For Reissues, see above											

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Patrick M. Boucher	Registration No. (Attorney/Agent)	44,037	Telephone	303-571-4000		
Signature	Patiet	l Pre		Date	January 2, 2002		

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